

# Northeastern University Bouvé College of Health Sciences

## School of Pharmacy Code of Professional Conduct

### Expectations for Professionalism

The School of Pharmacy (School) expects all students, faculty, and staff to conduct themselves in a professional manner. All individuals are expected to represent the School, the professional program, and the profession in a positive, professional manner.

Violation of the Northeastern University School of Pharmacy Code of Professional Conduct or of any of the professions' codes of ethics is considered professional misconduct.

Academic misconduct, while also considered a form of professional misconduct, may be adjudicated as outlined in the Northeastern University Code of Student Conduct (accessed at <http://www.northeastern.edu/osccr/code-of-student-conduct/>, updated annually). Additionally, academic misconduct may be reviewed under the terms of this School of Pharmacy Code of Professional Conduct.

### I. Scope of Policy

The School of Pharmacy Code of Professional Conduct (CPC) applies to all students enrolled in any of the School's academic programs and participants in programs, events and activities affiliated with, sponsored by or sanctioned by the School. In addition, the CPC is applicable to all student nonacademic and extracurricular activities (regardless of whether such activities take place on or off campus) that have had or have the potential to have an adverse impact on Northeastern University, the Bouvé College of Health Sciences, the School, faculty, staff, students, or patients and staff at affiliated experiential education sites, or may affect a students' fitness for continued enrollment in the School or entry into professional practice in pharmacy.

Reports and actions related to the CPC should be directed immediately to relevant faculty or the Assistant Dean of Academic Affairs (Assistant Dean). Specific questions requiring interpretations of the meaning of any provision of the CPC will be provided by the dean of the School of Pharmacy (Dean) as needed.

Students in the School of Pharmacy are also required to comply with terms of the Northeastern University Code of Student Conduct: <http://www.northeastern.edu/osccr/codeofconduct/index.html>

### II. Professional Misconduct

The following is an illustrative, non-exhaustive list of some common examples of professional misconduct and does not represent all potential infractions:

1. Violation of expected terms of conduct described in course policies or syllabi or as otherwise articulated in writing by the instructor;
2. Actions or behaviors that violate school or professional codes of conduct (e.g., professional Oath of the Student Pharmacist or Board of Registration in Pharmacy expectations);
3. Entering a classroom, laboratory, seminar or experiential setting habitually late, or arriving late or leaving early for a professional activity without prior permission from the instructor;
4. Addressing faculty, staff, students, preceptors, practitioners, or patients in an unprofessional, disrespectful and inconsiderate manner;
5. Wearing unprofessional attire (note expectations in the professional program, class and/or experiential education policies and guidelines) during on-campus learning activities including classes, laboratories and seminars, or during experiential education activities or school-sanctioned professional events;
6. Bringing family members, guests, and pets to the learning environment or professional academic activities without prior consent of the instructor;

7. Accessing without proper authorization or revealing confidential information about faculty, staff, students of the school, college, or university;
8. Accessing without proper authorization or revealing confidential information in any practice/learning setting;
9. Using electronic resources and communication systems in a manner that is irresponsible, inappropriate or disruptive to oneself or others. This includes, but is not limited to: inappropriate use of cell phones, computers, tablets, email, instant messaging, social media, blogs, and websites. Refer to the Northeastern University Student Undergraduate Handbook – Appropriate Use of Computer and Network Resources Policy (available at: [http://www.northeastern.edu/infoservices/?page\\_id=97](http://www.northeastern.edu/infoservices/?page_id=97));
10. Endangering patients or damaging their property; and
11. Other activity that, at the discretion of the School consistent with the procedures outlined below, constitutes unprofessional conduct.

### **III. Procedures of Reporting**

#### Definitions

##### *Professionalism Concern Form*

Intended to be used to report an instance of or patterns of unprofessional behavior exhibited by a student pharmacist. The submitted / completed forms will be maintained in a confidential manner in the School of Pharmacy Office of the Dean.

##### *Administrative Professional Conduct Board (up to four members)*

Comprised of the Assistant Dean, the Director of Undergraduate and Professional Programs or designee, Director of the Office of Experiential Education or designee, and a School of Pharmacy staff member. The academic advisor from the Bouvé College of Health Sciences Office of Student Services will also be present.

##### *Academic Standing Committee*

The Academic Standing Committee is a standing committee within the School that reviews cases in which students may be suspended or dismissed from the School for violations of this Policy.

#### Procedure

The School's professional misconduct review shall run concurrently with any other applicable university procedures, including, but not limited to, academic and disciplinary proceedings administered by the university's Office of Student Conduct and Conflict Resolution. The Assistant Dean, the Administrative Professional Conduct Board, or the Academic Standing Committee may, at their discretion, indefinitely suspend or terminate any proceeding already instituted hereunder if they feel another procedure has or will adequately address the concern(s) raised regarding the reported unprofessional behavior.

#### Preliminary Review

Any faculty member, staff member, employer/preceptor, or student who believes a student has engaged in unprofessional behavior should report the matter to the Assistant Dean and / or the Office of Experiential Education (OEE) member, who may then determine what preliminary action, if any, may be taken to address the reported issue. The Assistant Dean and / or OEE team member will make a determination consistent with the expectations stated herein whether: (1) the preliminary action, if any, is sufficient to address the reported unprofessional behavior; or (2) the matter should be forwarded for further consideration consistent with the procedures outlined below. If forwarded for further consideration, the reported unprofessional conduct must be reduced to writing using a Professionalism Concern Form. If the reported unprofessional conduct has not, in the Assistant Dean's assessment, been corrected after meeting with the student, or if a

second breach of professional expectations occurs, the Professionalism Concern Form must be completed and forwarded for further consideration as outlined below.

### Formal Review

A completed Professionalism Concern Form will be delivered to the Assistant Dean for review. Upon receipt of the form, the Assistant Dean will convene the Administrative Professionalism Conduct Board in a timely manner to decide on an appropriate course of action to address the reported misconduct. Such course of action may include, but is not limited to: a meeting between the student, the Assistant Dean, and Administrative Professionalism Conduct Board, and/or Academic Standing Committee; counseling for the student on professional conduct; remediation by the student to address the effect of his/her unprofessional conduct; and other steps deemed appropriate by the Assistant Dean and Administrative Professionalism Conduct Board. The student will be notified of the concern before an action takes place or a decision is rendered by the Administrative Professionalism Conduct Board. A student who is invited to meet with the Administrative Professionalism Conduct Board or the Academic Standing Committee must print a copy of this document, read it in its entirety and bring it to the meeting.

Reported misconduct that may subject a student to suspension or dismissal from an academic program must be forwarded to the Academic Standing Committee. For example, a student who has three (3) or more Professionalism Concern Forms on file will be reported to the Academic Standing Committee for further action/recommendation. Additionally, any report of serious professional misconduct - whether a student's first, second or third - may, at the discretion of the Administrative Professionalism Conduct Board, be reported to the Academic Standing Committee for that Committee's review and potential action.

### Retention of Records

Professionalism Concern Forms and documents memorializing the resolution of the misconduct reported on such Form(s) will be placed in the student's academic file to remain within the Office of the Assistant Dean; this record does not appear on unofficial or official school transcripts. Such professionalism related documents will be destroyed upon the student's graduation except in the following circumstances:

- The reported misconduct resulted in the student's suspension or dismissal from the program or School;
- The student had three (3) or more reported incidents of professional misconduct; and/or
- The report is otherwise required to be retained by applicable University policies or procedures.

## **IV. Academic Standing Committee**

The purpose of the Academic Standing Committee is to provide students with an impartial review of reported violations of this Policy that may warrant suspension or dismissal from the program. The Academic Standing Committee will meet each semester or as needed to respond to reported concerns of students' unprofessional behaviors. This Committee may also implement changes to these policies and procedures.

## **V. Hearing**

A hearing date will be set by the applicable Committee to discuss the reported concern. If a Committee finds that there is sufficient evidence to support a violation of the professional standards previously defined, this will be so noted in the student's record. The applicable Committee will develop an appropriate remediation plan in collaboration with the student and appropriate faculty and staff.

Third parties, including but not limited to witnesses, lawyers, parents, guardians, spouses, partners, and friends, are not permitted to attend the hearing.

## **VI. Appeals**

Within ten (10) university business days of receiving the written notification of the Academic Standing Committee's decision, the student may submit a written appeal of the decision or the sanction (or both) to the Dean of the School. Appeals must be based on at least one of the following arguments:

1. There were violations of procedure that seriously compromised the investigation and/or conclusions.
2. The evidence presented to the Committee clearly does not support the findings.
3. The sanctions implemented by the Committee are excessive relative to the violation.
4. There is significant new evidence that was not reasonably available during the investigation.

The Dean of the School will determine if the appeal meets the above conditions. The Dean will issue a written report regarding his/her decision within ten (10) university business days of receiving the appeal. The decision of the Dean is final and no further appeals will be considered.

## **VII. Proceedings for Registered Student Club or Organization**

Student organizations may also be held responsible for violations of the Code of Professional Conduct. A review for a registered student organization shall be conducted in a manner similar to procedures used in cases involving individual students. A review shall be conducted with one spokesperson from the organization (usually the organization's president). Sanctions may be imposed upon a registered student organization for a violation of the Code of Professional Conduct in the same manner and using the same considerations as on individuals

References (this document was created with modification from the following policies and guidelines, which were used with permission):

The University of Rhode Island College of Pharmacy Professionalism Guidelines – 8/17/2010  
The University of Michigan College of Pharmacy Academic and Professional Conduct Policy – 9/1/2011  
The University of New England College of Pharmacy, Pharmacy Student Code of Professional Conduct – 11/2012  
The North Dakota State University College of Pharmacy, Nursing and Allied Sciences Student Conduct Policy – 4/21/2011  
The Northeastern University Department of Physical Therapy Policy and Procedures – 9/18/2013  
The University of California San Francisco, School of Pharmacy Professionalism Guidelines – 9/1/2011